

TB  
WR

# DISCLOSURE DIVISION

**WAIVER REQUEST**

DATE: APRIL 4, 2016

**ANSWER**

DOCKET #: 2016.421

**RECONSIDERATION REQUEST**

Robin Gremillion, Director  
Disclosure Division

## FILER INFORMATION

Name: HAYS, JOHNA E.

Office/Position: -----

Board/Commission: Workforce Investment Board #71

Dates of Service: October 2011 - December 2016

Number of Disclosures and/or Amendments Filed with Agency: 3

## REPORT INFORMATION

Tier Level: Tier 2.1

Name of Report: Tier 2.1 annual PFD covering 2013

Original Due Date: May 15, 2014

NOD Received: January 9, 2015

PFD due Date based on NOD: January 21, 2015

PFD Filed: October 5, 2015

## LATE FEE INFORMATION

Amount of Late Fee: \$1500

Days late from receipt of NOD:

Total days late from initial due date: 257

Late Fee Order Received: 508

Payment/Waiver Request due date: April 5, 2016

Waiver Request Received: March 18, 2016

## REASON FOR LATE FILING AND/OR COMMENTS:

Ms. Hays stated that she initially did not file the disclosure statement because she was hesitant in revealing her personal funds. She explained that she had personal experience with identity theft. She also stated that she travels with her employment which may have caused a delay.

## OTHER LATE FEE INFORMATION

### *Disclosure Statements:*

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

### *Campaign Finance:*

- Outstanding Late Fees: No
- Prior Late Fees: No



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**

P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
www.ethics.state.la.us

**CERTIFIED MAIL**

**NO. 70140150000021909074**

**RETURN RECEIPT REQUESTED**

January 6, 2015

Johna E. Hays  
PO Box 21106  
Shreveport, LA 71106

**RE: NOTICE OF DELINQUENCY - FAILURE TO FILE**  
**Statement covering 2013**  
**Work Force Investment Board #71**

Dear Ms. Hays:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

**You have 7 business days from the date of receipt of this Notice** to file your Tier 2.1 Personal Financial Disclosure Statement covering 2013, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 2.1 Personal Financial Disclosure Statement (Form 417) is available on the Louisiana Board of Ethics website at [www.ethics.state.la.us](http://www.ethics.state.la.us). If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,

Melanie Legier  
Compliance Officer

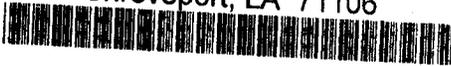
**SENDER: COMPLETE THIS SECTION**

**COMPLETE THIS SECTION ON DELIVERY**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return this card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Johna E. Hays  
PO Box 21106  
Shreveport, LA 71106



A. Signature

X *M. Doss*

- Agent
- Addressee

B. Received by (Printed Name)

*M. Doss*

C. Date of Delivery

D. Is delivery address different from item 1?  Yes  
If YES, enter delivery address below:  No

3. Service Type

- Certified Mail®
- Priority Mail Express™
- Registered
- Return Receipt for Merchandise
- Insured Mail
- Collect on Delivery

4. Restricted Delivery? (Extra Fee)  Yes

2. Article Number

(Transfer from service label)

7014 0150 0000 2190 9074

PS Form 3811, July 2013

Domestic Return Receipt

LOUISIANA  
ETHICS ADMINISTRATION  
STATE OF LOUISIANA  
DEPARTMENT OF REVENUE  
RECEIVED

2015 OCT -5 AM 11:02

**LOUISIANA BOARD OF ETHICS**  
Post Office Box 4368  
Baton Rouge, Louisiana 70821

**TIER 2.1 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)**

This Report Covers Calendar Year: 2013

- ORIGINAL REPORT
- AMENDED REPORT

I currently hold an office that would require me to file a Tier 3 Personal Financial Disclosure Statement. As such, I have completed SCHEDULE D.

Name of Filer (print full name): Johna E Hays  
 Mailing Address: PO Box 5775  
 City, State, Zip: Shreveport, LA 71135

Name of Board/Commission (no abbreviations): Workforce Investment Board  
 Date of Appointment: 2012  
 Date Appointment Expires: unknown

Name of Spouse (print full name): N/A  
 Spouse's Occupation: \_\_\_\_\_  
 Principal Business Address: \_\_\_\_\_  
 City, State, Zip: \_\_\_\_\_

**CHECK ONE:**  
 Neither I, nor any member of my immediate family, have a personal or financial interest in any entity, contract, or business, or a personal or financial relationship, that in any way poses a conflict of interest, which would affect the impartial performance of my duties as a member of the board or commission.  
 I have attached a statement describing any conflicts, and actions I am taking to resolve or avoid the conflicts.

- Check all that apply:**
- I have filed my state income tax return for the previous year.
  - I have filed for an extension of my state income tax return for the previous year.
  - I have filed my federal income tax return for the previous year.
  - I have filed for an extension of my federal income tax return for the previous year.

NOTE: La. R.S. 42:1124.2.1 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.

**Certification of Accuracy**

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

Johna Hays  
 Signature of Filer  
[www.ethics.la.gov](http://www.ethics.la.gov)



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**

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FAX: (225) 381-7271  
1-800-842-6630  
www.ethics.state.la.us

**CERTIFIED MAIL**

**NO. 70153010000146469531**

**RETURN RECEIPT REQUESTED**

January 28, 2016

Johna E. Hays  
P. O. Box 5775  
Shreveport, LA 71135-5775

RE: Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2013  
Work Force Investment Board #71  
**Late Fee Assessment – Report: PFD15012010**

Dear Ms. Hays:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of \$1,500 payable to the *Louisiana State Treasurer*, Post Office Box 4368, Baton Rouge, Louisiana 70821.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

- **Request a waiver to the *Board of Ethics***  
If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.
- **Appeal the assessment directly to the *Ethics Adjudicatory Board***  
If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary*, Ethics Administration, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Robin Gremillion at 225/219-5600 or 1-800-842-6630.

Sincerely,

Donna Bourgeois  
Administrative Coordinator

**STATE OF LOUISIANA  
BOARD OF ETHICS**

**In Re: Tier 2.1 Annual Personal Financial Disclosure Statement 2013  
Work Force Investment Board #71  
Late Fee Assessment – Report: PFD15012010**

**ORDER**

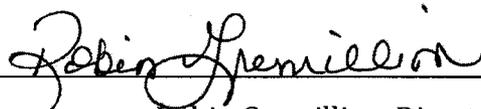
JOHNA E. HAYS was required to file a Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2013 pursuant to La. R.S. 42:1124 et seq.

On January 9, 2015, JOHNA E. HAYS received a Notice of Delinquency for failing to file the disclosure statement. The notice required that the disclosure statement be filed by January 21, 2015 (pursuant to La. R.S. 42:1124.4). JOHNA E. HAYS filed the Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2013 on October 5, 2015 and was 257 days late.

La. R.S. 42:1124.4 authorizes the assessment of a \$50 late fee per day (not to exceed \$1,500) against JOHNA E. HAYS for this late filing.

Accordingly, **IT IS ORDERED** that a late fee of \$1,500 be assessed against JOHNA E. HAYS for failing to timely file the Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2013.

**ORDER** signed on the 28th day of January 2016 at Baton Rouge, Louisiana.



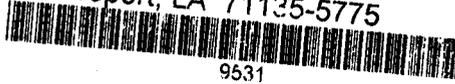
Robin Gremillion, Director

Disclosure Division

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

Johna E. Hays  
P. O. Box 5775  
Shreveport, LA 71135-5775



9590 9402 1346 5285 3295 43

2 Article Number (Transfer from service label)

7015 3010 0001 4646 9531

PS Form 3811, July 2015 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X *Johna Hays*

- Agent
- Addressee

B. Received by (Printed Name)

JOHNA HAYS

C. Date of Delivery

3-16-16

D. Is delivery address different from item 1?  Yes  
If YES, enter delivery address below:  No

3. Service Type

- Adult Signature
- Adult Signature Restricted Delivery
- Certified Mail®
- Certified Mail Restricted Delivery
- Collect on Delivery
- Collect on Delivery Restricted Delivery
- Insured Mail
- Priority Mail Express®
- Registered Mail™
- Registered Mail Restricted Delivery
- Return Receipt for Merchandise
- Signature Confirmation™
- Signature Confirmation Restricted Delivery

DB

Restricted Delivery

Domestic Return Receipt

LOUISIANA  
ETHICS ADMINISTRATION  
CAMPAIGN FINANCE  
RECEIVED

2016 MAR 18 PM 2:36

March 16, 2016

Ms. Donna Bourgeois  
Louisiana Board of Ethics  
P. O. Box 4368  
Baton Rouge, LA 70821

RE: Tier 2.1 Annual Personal Financial Disclosure Statement for 2013

Dear Ms. Bourgeois:

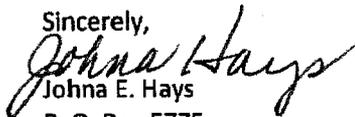
This letter is in response to your letter dated January 28, 2016. I received your letter today and called your office immediately to respond. As suggested, I am writing my request for a waiver. Unfortunately, because I thought this matter was settled timely, I do not have copies of my records of submission.

I am requesting a waiver for several reasons. The initial request I received caused me great concern to disclose my personal funds. It was very uncomfortable to me due to a previous identity theft case of my records as well as I could not understand what my personal income had to do with this Board. I actually called the Louisiana Board of Ethics to resign from the Board. After discussing my concerns with a lady at this office (I do not recall her name), I agreed to stay on the Board and submit my information. During this time frame, I was traveling a great deal within our corporation's service territory, however, the lady from the Ethics Board and I did submit the records on two different occasions and thought we were on track.

I am a big supporter of developing our talent for the future and feel a personal responsibility to help our Communities move forward. Those are the reasons I agreed to volunteer to serve on this Board.

I respectfully ask for a waiver as this is an honest mistake and an apparent oversight. We will certainly take measures to ensure this never happens again.

Sincerely,



John E. Hays  
P. O. Box 5775  
Shreveport, LA 71135

Xc: Personal file



# SOUTHWESTERN ELECTRIC POWER®

Human Resources Department  
P. O. Box 21106, Shreveport, LA 71156  
Fax: 318-673-3100

## Fax

To: Louisiana Bd of Ethics From: Janna Hayes  
 Fax: \_\_\_\_\_ Pages: 2  
 Phone: \_\_\_\_\_ Date: 3-17-16  
 Re: \_\_\_\_\_ CC: \_\_\_\_\_

Urgent     For Review     Please Comment     Please Reply     Please Recycle

● Comments  
Please feel free to call me  
at 318 673 3793 or 318 422 5447

Thanks.

**bettyz**

**StremCenter Fax  
03-18-2016 /13:55**

**XEROX®**